

Test *Before* You Invest!

Before you trust your money with **any** person or company that offers services of a financial nature (legal, investment, financial, insurance or real estate) ask that they complete this form and leave it with you. If they will not complete it, or do not have the information available – **DO NOT CONTINUE TO DO BUSINESS UNTIL THE INFORMATION IS PROVIDED AND YOU HAVE A CHANCE TO VERIFY THE INFORMATION GIVEN!!!**

What product(s) are you offering? (Check all that apply)

- ☐ Insurance Type: _____
- ☐ Investment Type: ☐ Securities ☐ Annuities ☐ Other _____
- ☐ Legal Services Type: ☐ Living Trust ☐ Power-of-Attorney
☐ Estate Planning ☐ Other _____
- ☐ Financial Type: ☐ Accounting ☐ Other _____
- ☐ Other: _____

Who regulates or licenses this product/service?

Office of Financial and Insurance Services ☐
Commercial Services and Corporations/Professional & Occupational Licenses ☐
State Bar of Michigan ☐
Other ☐ _____

What license(s) do you hold that authorizes you to sell/provide this product/service?

LICENSE TYPE	LICENSE INFORMATION
Insurance License	License No. _____ Lines _____
Securities Agent	CRD No. _____
Investment Adviser	IARD No. _____ SEC _____ Non-NASD _____
Broker-Dealer	CRD No. _____
State Bar License	State Bar No. _____
Accountant License	CPA or Firm No. _____
Real Estate License	Sales No. _____ Broker No. _____
Other License or Authority	_____

Seller/Agent Information

Seller/Agent Name: _____
Company/Business Name: _____
Company/Business Address: _____
Phone No. _____ Today's Date _____

The Office of Financial and Insurance Services (OFIS) is primarily fee-funded, requiring minimal public tax dollars for its regulatory and consumer assistance activities. OFIS has securities, insurance and financial institutions information available online at the OFIS web site: www.Michigan.gov/ofis.

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Michigan Department of Labor & Economic Growth

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